

01-12-04

2611

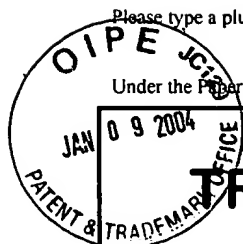
Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (6/98)

Approved for use through 9/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

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| | | |
|---|---|-----------------------------------|
| Application No. 09/827,865 Filing Date April 6, 2001 First Named Inventor Geetha Srikantan Group Art Unit 2611 Examiner Name Brian K. Shelton | Total Number of Pages in this Submission: | Attorney Docket No. SUN-P4966-MDL |
|---|---|-----------------------------------|

ENCLOSURES (check all that apply)

| | | |
|---|--|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee attached <input checked="" type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavit/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts Notice/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers for an application <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney by Assignee, with Revocation of Former Powers <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund | <input type="checkbox"/> After-Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s): <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Check for \$ _____ <input type="checkbox"/> _____ |
|---|--|--|

Remarks:



22200

PATENT TRADEMARK OFFICE

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

| | | | |
|-----------|--|-----------|-----------------|
| Name | Daniel E. Vaughan (Registration No. 42,199) | Date | January 9, 2004 |
| Signature | <i>Daniel E. Vaughan</i> | Telephone | 650-474-1973 |
| Address | 702 Marshall Street, Suite 310, Redwood City, CA 94063 | Facsimile | 650-474-1976 |

CERTIFICATE OF MAILING

| | |
|---|-----------------------|
| I hereby certify that this correspondence is being deposited with the U. S. Postal Service as <input checked="" type="checkbox"/> Express Mail (No. EL 978 246 479 US) or <input type="checkbox"/> First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 on: <u>January 9, 2004</u> | |
| Type or Printed Name | Daniel E. Vaughan |
| Signature | <i>Daniel Vaughan</i> |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL
for FY 2003

Patent fees are subject to annual revision.

Complete if Known

| | |
|----------------------|------------------|
| Application Number | 09/827,865 |
| Filing Date | April 6, 2001 |
| First Named Inventor | Geetha Srikantan |
| Examiner Name | Brian K. Shelton |
| Group Art Unit | 2611 |
| Attorney Docket No. | SUN-P4966-MDL |

TOTAL AMOUNT OF PAYMENT (\$) 0

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit
Account
Number
Deposit
Account
Name

50-1801

Park, Vaughan & Fleming LLP

- ☒ Charge any Additional Fee Required
Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money ☐ Other
Order

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|---------------|---------------|------------------------|----------|
| 1001 770 | 2001 385 | Utility filing fee | |
| 1002 340 | 2002 170 | Design filing fee | |
| 1003 530 | 2003 265 | Plant filing fee | |
| 1004 770 | 2004 385 | Reissue filing fee | |
| 1005 160 | 2005 80 | Provisional filing fee | |

SUBTOTAL (1)

(\$) 0

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 20 | -20**= 0 | X | |
| Independent Claims | 6 | -6**= 0 | X |
| Multiple Dependent | | | |

**or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description |
|---------------|---------------|--|
| 1202 18 | 2202 9 | Claims in excess of 20 |
| 1201 86 | 2201 43 | Independent claims in excess of 3 |
| 1203 290 | 2203 145 | Multiple dependent claim |
| 1204 86 | 2204 43 | ** Reissue independent claims over original patent |
| 1205 18 | 2205 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$) 0

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description |
|---------------|---------------|--|
| 1051 130 | 2051 65 | Surcharge - late filing fee or oath |
| 1052 50 | 2052 25 | Surcharge - late provisional filing fee or cover sheet. |
| 1053 130 | 1053 130 | Non-English specification |
| 1812 2520 | 1812 2520 | Request for <i>ex parte</i> reexamination |
| 1804 920* | 1804 920* | Requesting publication of SIR prior to Examiner action |
| 1805 1840* | 1805 1840* | Requesting publication of SIR after Examiner action |
| 1251 110 | 2255 55 | Extension for reply within first month |
| 1252 420 | 2252 210 | Extension for reply within second month |
| 1253 950 | 2253 475 | Extension for reply within third month |
| 1254 1480 | 2354 740 | Extension for reply within fourth month |
| 1255 2010 | 2255 1005 | Extension for reply within fifth month |
| 1401 330 | 2401 165 | Notice of Appeal |
| 1402 330 | 2402 165 | Filing a brief in support of an appeal |
| 1403 290 | 2403 145 | Request for oral hearing |
| 1451 1510 | 1451 1510 | Petition to institute a public use proceeding |
| 1452 110 | 2452 55 | Petition to revive - unavoidable |
| 1453 1330 | 2453 665 | Petition to revive - unintentional |
| 1501 1330 | 2501 665 | Utility issue fee (or reissue) |
| 1502 480 | 2502 240 | Design issue fee |
| 1503 640 | 2503 320 | Plant issue fee |
| 1460 130 | 1460 130 | Petitions to the Commissioner |
| 1807 50 | 1807 50 | Processing fee for provisional applications |
| 1806 180 | 1806 180 | Submission of Information Disclosure Stmt |
| 8021 40 | 8021 40 | Recording each patent assignment per property (times number of properties) |
| 1809 770 | 2809 385 | Filing a submission after final rejection (37 CFR 1.129(a)) |
| 1810 770 | 2810 385 | For each additional invention to be examined (37 CFR 1.129(b)) |
| 1801 770 | 2801 385 | Request for Continued Examination (RCE) |
| 1802 900 | 1802 900 | Request for expedited examination of a design application |

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 0

SUBMITTED BY

| | | | | | |
|-------------------|--------------------------|-----------------------------------|-----------------|-----------|--------------|
| Name (Print/Type) | Daniel E. Vaughan | Registration No. (Attorney/Agent) | 42,199 | Telephone | 650-474-1973 |
| Signature | <i>Daniel E. Vaughan</i> | Date | January 9, 2004 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information & authorization on PTO-2038.

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